

Docket Number: 008312-0309001

PATENT APPLICATION

Client Reference: T4HW-03S1617

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

SUSUMU HISATOMI

Group Art Unit: UNKNOWN

Application No.: TO BE ASSIGNED

Examiner: UNKNOWN

Filed: March 30, 2004

Confirmation No.: UNKNOWN

For: OPTICAL HEAD APPARATUS, OPTICAL HEAD TRANSFERRING METHOD AND OPTICAL DISK APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Mail Stop Patent Application  
P. O. Box 1450  
Alexandria, VA 22313-1450

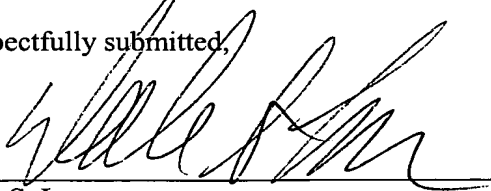
Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the references has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

Relevance of the non-English language references are discussed in the present specification and/or in the English-language Abstracts of the non-English language references are attached hereto.

Respectfully submitted,



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<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
				Application Number	UNKNOWN
				Filing Date	03/30/2004
				First Named Inventor	SUSUMU HISATOMI
				Art Unit	UNKNOWN
				Examiner Name	UNKNOWN
				Attorney Docket Number	008312-0309001
Sheet	1	of	1		

[illegible]

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	1	JP	2002-216442	08/02/2002	KATO	Abstract	
	2	JP	11-353824	12/24/1999	AOYAMA	Abstract	
	3	JP	7-93920	04/07/1995	KUME	Abstract	
	4	JP	7-122004	05/12/1995	IKARI	Abstract	
	5	JP	7-147018	06/06/1995	IKEGAME	Abstract	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**Information Sheet for preparing an Information  
Disclosure Statement under Rule 1.56**

**Suzuye Ref.03S1617**

**Foreign Patent Documents**

Document No.: **2002-216442**, published **August 2, 2002**  
Country: **Japan**  
Copy of reference: **attached**  
Language: **non-English**  
English translation: **not attached for it is not readily available**  
Concise Explanation of Pertinency: **This publication is referred in the  
specification. See page 2, line 20.**

**Foreign Patent Documents**

Document No.: **11- 353824**, published **December 24, 1999**  
Country: **Japan**  
Copy of reference: **attached**  
Language: **non-English**  
English translation: **not attached for it is not readily available**  
Concise Explanation of Pertinency: **This publication is referred in the  
specification. See page 2, line 26.**

**Foreign Patent Documents**

Document No.: **7-93920** , published **April 7, 1995**  
Country: **Japan**  
Copy of reference: **attached**  
Language: **non-English**  
English translation: **not attached for it is not readily available**  
Concise Explanation of Pertinency: **This publication is referred in the  
specification. See page 3, line 10.**

**Foreign Patent Documents**

Document No.: **7-122004**, published **May 12, 1995**

Country: **Japan**

Copy of reference: **attached**

Language: **non-English**

English translation: **not attached for it is not readily available**

Concise Explanation of Pertinency: **This publication is referred in the specification. See page 3, line 16**

**Foreign Patent Documents**

Document No.: **7-147018** published **June 6, 1995**

Country: **Japan**

Copy of reference: **attached**

Language: **non-English**

English translation: **not attached for it is not readily available**

Concise Explanation of Pertinency: **This publication is referred in the specification. See page 3, line 21.**